



UNITED STATES PATENT AND TRADEMARK OFFICE

eeu
UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/734,398	12/12/2003	Ronald C. Meadows	5308-376	1180
20792 7590 05/08/2007 MYERS BIGEL SIBLEY & SAJOVEC PO BOX 37428 RALEIGH, NC 27627			EXAMINER MAI, ANH D	
			ART UNIT 2814	PAPER NUMBER
			MAIL DATE 05/08/2007	DELIVERY MODE PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Office Action Summary

Application No.

10/734,398

Applicant(s)

MEADOWS, RONALD C.

Examiner

Anh D. Mai

Art Unit

2814

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 18 April 2007.
- 2a) ☒ This action is FINAL. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1,4-8,10-20 and 28-30 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1,4-8,10-20 and 28-30 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
- ☐ Certified copies of the priority documents have been received.
 - ☐ Certified copies of the priority documents have been received in Application No. _____.
 - ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- * See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|--------------------------------------------------------------------------------------|--------------------------------------------------------------------|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input checked="" type="checkbox"/> Interview Summary (PTO-413) |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | Paper No(s)/Mail Date. <u>April 04, 2007</u> |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08) | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____ |

DETAILED ACTION

Status of the Claims

1. The Remarks filed April 18, 2007 is acknowledged. Claims 1, 4-8, 10-20 and 28-30 are pending.

Claim Objections

2. Claims 29 and 30 are objected to because of the following informalities: the "Status Identifiers" of claims 29 and 30 are incorrect. (See MPEP 714).

Since the claim's number of these claims have been changed, the status identifiers of these claims are "**(currently amended)**", with the previous numbered crosses out and new number underlines, not "**(previously presented)**" as filed.

3. Appropriate correction is required.

Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

4. Claims 1, 4-8, 10-20 and 28-30 are rejected under 35 U.S.C. 103(a) as being unpatentable over Morse (U.S. Patent No. 6,534,857), in view of Palmour (U.S. Patent No. 5,270,554) all of record, as previously applied.

With respect to claim 1, Morse teaches a semiconductor device substantially as claimed including:

a plurality of unit cells (24_{1-n}) connected in parallel, the unit cells (24) each having a gate finger (24), wherein a pitch between the gate fingers (24) is varied in a predetermined pattern between the gate fingers (24) so as to provide a non-uniform pitch between the gate fingers (24), wherein the predetermined pattern of non-uniform pitch between the gate fingers (24) provides substantially uniform junction temperature to a substantial majority of the gate fingers (24) during high frequency operation. (See Figs. 7-8).

Morse further teaches the invention is related to semiconductor device, particularly, uses in a **high frequency FET power cell**.

Thus, Morse is shown to teach all the features of the claim with the exception of explicitly indicating the high frequency FET cell are RF.

However, Palmour teaches a similar plurality of unit cells connected in parallel operate at high frequency out put of MHz and GHz, hence RF.

Therefore, it would have been obvious to one having ordinary skill in the art at the time of invention to conclude that the semiconductor device, high frequency FET power cell of Morse is an RF power device.

Regarding the "junction temperature", since the junction is within the substrate, a measurement of the substrate temperature is indirectly measure the junction temperature, thus, the limitation is met.

Note that, the term *during RF operation* is determined as intended use of the product.

With respect to claim 4, the predetermined pattern of non-uniform pitch between the gate fingers (24_{1-n}) of Morse provides a substantially uniform junction temperature to all but the outermost gate fingers (24_n) of the device when in operation. (See Fig. 8).

With respect to claim 5, the unit cells (50) of Morse comprise a plurality of unit cells arranged in a linear array.

With respect to claim 6, the unit cells (50) of Morse comprise a plurality of unit cells arranged in a two dimensional array and the non-uniform pitch gate fingers (24) are provided in at least one of the two dimensions of the two dimensional array.

With respect to claim 7, the non-uniform pitch gate fingers (24) of Morse are provided in both dimensions of the two dimensions of the two dimensional array. (See Fig. 7).

With respect to claim 8, the pitch between the gate fingers (24) varies in a substantially linear pattern from a small pitch to a larger pitch toward the center of the device.

With respect to claim 10, the unit cells of Morse and Palmour comprise MESFET unit cells.

With respect to claim 11, the unit cells of Morse comprise silicon carbide semiconductor device unit cells. (See col. 3, ll. 22-40).

With respect to claim 12, the predetermined pattern of non-uniform pitch between the gate fingers (24) of Morse provides a more uniform junction temperature than a corresponding uniform gate pitch device for a particular set of operating conditions.

With respect to claims 13 and 14, the junction temperature of Morse does not differ by more than about 5 °C over at least 80% or 90% of the plurality of unit cells. (See Fig. 8).

With respect to claim 15, Morse teaches a field effect transistor substantially as claimed including:

a plurality of unit cells (24_{1-n}) electrically connected in parallel, each unit cell (24) having a source region (20) and a drain region (22); (see Fig. 1); and

a plurality of gates (24) of the unit cells (24_{1-n}), the plurality of gates (24) being electrically connected in parallel and having a non-uniform spacing between the gates (24), wherein the non-uniform spacing between the gates is provided in a pattern that provides a lower peak junction temperature during a high frequency operation than a corresponding uniform gate pitch device for a particular set of operating conditions. (See Figs. 5-8).

Regarding the RF operation and junction temperature, a similar reason as that of claim 1 also applies.

With respect to claim 16, the plurality of unit cells of Morse comprises a linear array of unit cells.

With respect to claim 17, the plurality of unit cells of Morse comprises a two dimensional array of unit cells.

With respect to claim 18, the non-uniform spacing of the gates (24) of Morse is in a single dimension of the two dimensional array.

With respect to claim 19, the non-uniform spacing of the gates (24) of Morse is in both dimensions of the two dimensional array. (See Fig. 7).

With respect to claim 20, the plurality of unit cells of Morse comprises a plurality of silicon carbide unit cells. (See col. 3, ll. 22-40).

Art Unit: 2814

With respect to claims 28 and 29, Morse teaches: a non-uniform unit cells having pitch (spacing) of 38, 30, 22 and 13 μm .

Thus, Morse is shown to teach all the features of the claim with the exception of specifically disclosing a larger spacing and a RF output power. Note that, the claimed dimensions and output powers do not appear to be critical.

However, Morse also teaches: while such dimension are not necessarily optimum, they are easily implement able.

Note that the specification contains no disclosure of either the *critical nature of the claimed dimensions or output powers* of any unexpected results arising therefrom. Where patentability is aid to based upon particular chosen dimension or upon another variable recited in a claim, the Applicant must show that the chosen dimension are critical. *In re Woodruff*, 919 F.2d 1575, 1578, 16 USPQ2d 1934, 1936 (Fed. Cir. 1990).

Within purview of one having ordinary skill in the art, it would have been obvious to determine the optimum dimensions (larger spacing) of the unit cells as taught by Morse. See *In re Aller*, Lacey and Hall (10 USPQ 233-237) "It is not inventive to discover optimum or workable ranges by routine experimentation.

Therefore, it would have been obvious to one having ordinary skill in the art at the time of invention to the FETs of Morse to have larger spacing since Morse teaches that spacing is easily implemented, hence optimized.

Further, Palmour teaches an RF operated at an output power of 630 W, 158 W and 45W is obtainable.

Art Unit: 2814

Therefore, it would have been obvious to one having ordinary skill in the art at the time of invention to operate the power FET of Morse at the output power as taught by Palmour since an output power as high as 630 W can be easily obtainable.

With respect to claim 30, the spacing between the gates (24) of Morse varies in a substantially linear pattern from a small pitch (ends) to a larger pitch (52) toward the center of the device.

Response to Arguments

Interview conducted April 4, 2007:

The summary of the interview in the Remarks is *incomplete*.

This Examiner actually confirmed that:

The documents regarding the demonstration of conception and actual reduction to practice **seemed to be in order**. However, the Declaration filed under 35 C.F.R. 1.131 **is ineffective** to overcome the applied reference because: the invention had been **claimed** by the reference (Morse -U.S. Patent No. 6,534,857).

5. The Declaration filed on December 27, 2006 under 37 CFR 1.131 has been considered but is ineffective to overcome the applied reference.

6. The Morse '857 reference is a U.S. patent or U.S. patent application publication of a pending or patented application that claims the rejected invention. An affidavit or declaration is inappropriate under 37 CFR 1.131(a) when the **reference is claiming the same patentable**

Art Unit: 2814

invention, see MPEP § 2306. If the reference and this application are not commonly owned, the reference can only be overcome by establishing priority of invention through interference proceedings. See MPEP Chapter 2300 for information on initiating interference proceedings. If the reference and this application are commonly owned, the reference may be disqualified as prior art by an affidavit or declaration under 37 CFR 1.130. See MPEP § 718.

In the Remarks, Applicant states:

Applicant notes that the pending Claims were not rejected on Morse alone, but were rejected on a combination of Morse and U.S. Patent No. 5,270,554 to Palmour ("Palmour").

However, the "rejected on a combination", e.g., 35 U.S.C. 103(a), means rendered obvious or the same patentable invention.

Therefore, the Declaration under 37 CFR 1.131 is not available to overcome the applied references.

Regarding claims 7 and 19, Applicant argues:

With respect to Claim 7, the Official Action states that "the non-uniform pitch gate fingers (24) of Morse are provided in both dimensions of the two dimensional array." Official Action at 4. A similar statement is made with respect to Claim 19. The Official Action cites no passages or figures of Morse to support these statements, nor can Applicant find any teaching or suggestion in Morse of non-uniform pitch gate fingers, where the non-uniform spacing is provided in both dimensions of a two dimensional array of gate fingers. Nor is such subject matter claimed by Morse. Accordingly, Morse should be removed as a basis to reject at least Claims 7 and 19...

Note that, the scope of the claims are determined in light of the disclosure. According to the disclosure, Fig. 2 discloses: "the gate fingers 24 are mirrored about the left vertical axis of

Art Unit: 2814

the figure so as to provide a complete device having a plurality of cells, each cell having its own gate finger”.

Therefore, in the context of the disclosure, **both** dimensions means left and right of the axis,

As clearly shown in Fig. 7 of Morse, the non-uniform pitch gate fingers (24), narrows pitch at 24i and 24n, and gradually the pitch are increasing toward the center, are clearly provided in both dimensions, left and right of the center, of the two dimensional array.

With respect to claims 7 and 19; 11 and 20; 13 and 14; and 28 and 29, See the Rejection above.

Conclusion

7. **THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

Art Unit: 2814

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Anh D. Mai whose telephone number is (571) 272-1710. The examiner can normally be reached on 8:00AM-5:00PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Wael Fahmy can be reached on (571) 272-1705. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).



ANH D. MAI
PRIMARY EXAMINER